

Competence and Experience Requirements for SCS 9001 Certification Body Auditors

This document is a product of the Oversight Work Group of Telecommunications Industry Association QuEST Forum (TIA QF). It is subject to change by the Oversight Working Group with the latest version always appearing here. The purpose of this document is to define the competencies and experience for the specified Supply Chain Security auditor levels: 1) SCS 9001 Auditor and 2) SCS 9001 Lead Auditor.

Section 1: General

1) Initial Requirements for SCS 9001 Auditor:

- a. Complete the SCS 9001 auditor training;
- b. Serve as auditor for an ISO 27001 full-system and 2 surveillance audits in the last 3 years, comprising of at least 20 audit days during that period;
- c. Meet the CB requirements for process-based auditing approach; and
- d. Complete CB requirements for SCS 9001 Team Member Auditor.

2. Initial Requirements for SCS 9001 Lead Auditor

- a. Meet all requirements in Item 1 above;
- b. Complete CB requirements for Lead Auditor for a Management System Standard; and
- c. Complete CB requirements for SCS 9001 Lead Auditor

Section 2: Maintenance Requirements for SCS Auditor:

- a) Participating in at least 3 SCS 9001 audits per each three-year period, and
- b) Completing a minimum of 2.0 CEUs in topics related to the cybersecurity or information security industries per each three-year period, including any additional courses required by TIA QuEST Forum.
- c) Maintenance Requirements for **SCS 9001 Lead Auditors**:
 - i. Participating in at least 6 SCS 9001 audits per each three-year period, with at least one being a full system audit. They must also act as audit team leader in at least two of the 6 audits; and
 - ii. Completing a minimum of 3.0 CEUs in topics related to the cybersecurity or information security industries per each three-year period, including any additional courses required by TIA QuEST Forum.

Section 3: Failure to Maintain Qualifications

Any individual that fails to meet the maintenance qualifications for their classification shall be re-classified based on the qualifications that are met. They must fulfill the requirements not met to re-qualify and shall not audit at that auditor level until re-qualified.

Conformance to these qualification requirements shall be confirmed for each three-year period. The process shall be the responsibility of the Certification Bodies (CBs) and overseen by the Accreditation Bodies (ABs).

Section 4: Implementation Guidelines

All SCS 9001 auditors, as of the effective date of this document, shall meet the requirements.

IAF Mandatory Document (MD4) for the Use of Information and Communication Technology (ICT) for Auditing/Assessment Purposes may be applied when maintaining SCS 9001 Auditors and SCS 9001 Lead Auditors.

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Appendix A –CEU Activities Examples

Cybersecurity training	Actual CEUs credited
TIA QuEST Forum Sanctioned Training	Actual CEUs credited
Information Security training	Actual CEUs credited
Auditing training courses	Actual CEUs credited
TIA QF SCS Work Groups, Regional Work Group, or Qualifying Sub-team meeting (including teleconferences) Participation	0.1 CEUs per Group per meeting, 0.9 CEUs max per group per year
TIA QF Conferences and Symposiums	0.1 CEUs per Conference Hour
Conducting a TIA SCS 9001 training session (note that you can only receive credit one time for each class, not for each time the class is delivered)	0.1 CEUs per Meeting Hour
Attendance at TIA /SCS 9001 training sessions conducted by your TIA representative	0.1 CEUs per Meeting Hour

Completion or Attendance Certificate documenting any CEU activity is, of course, required.

Appendix B –TIA QuEST Forum’s Participation Activities

Examples of TIA QuEST Forum activities:

- Attendance at TIA' QuEST Forum's SCS 9001 conference, Working Group, or Regional meetings
- Attendance at SCS 9001 Meetings, Training, or other active sub-team
- Contribution to online SCS 9001 discussions AND other activities on a sub-team
- Providing support to the SCS 9001 Translation sub-team
- Other participation in TIA QuEST Forum activities that would warrant recognition in the SCS 9001 Handbook
- TIA QuEST Forum SCS 9001 Webinars
- SCS 9001 Auditor User Group and Workshops

Change History:

Date	Change	Version
9/17/2021	Initial Draft Version for Pilots.	0.1
2/18/2022	Added to Section 1: 1) Auditing Experience a) to include ISO 9001 experience AND to Section 1: 2) Education added ISO 9001	0.2
November 16, 2023	Renamed document; Updated Section 1, and 2 for auditors' initial, maintenance. Updated Section 3 from annual to each-three year period to confirm conformance to Sections 1 and 2.	1.0